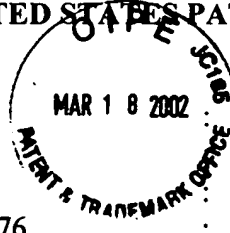


#3

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of  
Albazz, I. et al



Date: March 7, 2002

Serial No.: 10/004,076

IBM Corporation - T81/503  
P.O. Box 12195  
Research Triangle Park,  
North Carolina 27709

Filed: Oct. 30, 2001

For: System and Method for  
Representation of Business  
Policy and Governing the  
Conduct

Group Art Unit: 2161  
Examiner: To be assigned

**Preliminary Amendment**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice of Omitted Item(s) in a Nonprovisional Application mailed on, 1/7/02, please amend the above-identified application prior to the examination of the subject application as follows:

In the Specification:

Beginning with the second full paragraph on page 6 of the Specification, please substitute the following:

Figure 3 is an activity diagram showing the creation and publication of Policy Instances in a seller organization; and

Figure 4 is an activity diagram showing the creation and storage of a Product List Filter.